



1643

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

ALBERT et al.

Serial No.: 09/430,412

Filed: October 29, 1999

Atty. File No.: 2268UO-1

For: "MUTATIONS OF THE 5' REGION
OF THE HUMAN 5-HT1A GENE,
ASSOCIATED PROTEINS OF THE
5' REGION AND A DIAGNOSTIC
TEST FOR MAJOR DEPRESSION
AND RELATED MENTAL
ILLNESSES"

) Group Art Unit:

) Examiner:

) INFORMATION DISCLOSURE
) STATEMENT

CERTIFICATE OF MAILING

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ADDRESSED TO ASSISTANT COMMISSIONER FOR
PATENTS, WASHINGTON, DC 20231 ON June 14, 2000.

SHERIDAN ROSS P.C.

BY: Janice Meser

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to Applicant's duty of disclosure under 37 CFR § 1.56 and 37 CFR §§ 1.97-1.98, Applicant hereby provides a copy of each of the documents identified on the enclosed PTO Form 1449, although Applicant does not admit that any of such documents, alone or in any combination, is considered to be material to patentability as defined in 37 CFR § 1.56(b). Moreover, the inclusion of these documents is not to be construed as an admission by Applicant that each such document is prior art as to the above-identified patent application.

Respectfully submitted,

SHERIDAN ROSS P.C.

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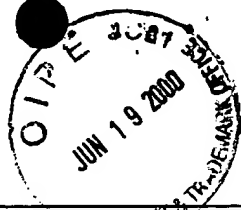
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SHEET 1 OF 1

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 2268UO-1	SERIAL NO. 09/430,412
	APPLICANT ALBERT et al.	
	FILING DATE October 29, 1999	GROUP ART

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROP.
	AA						
	AB						
	AC						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	AD							
	AE							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

	AF	"THE SEROTONIN 1a RECEPTOR GENE CONTAINS A TATA-LESS PROMOTER THAT RESPONDS TO MAZ AND Sp1" BY PARKS AND SHENK, THE JOURNAL OF BIOLOGICAL CHEMISTRY, VOL. 271, NO. 8, FEBRUARY 1996, PP. 4417-4430
	AG	
	AH	

EXAMINER	DATE CONSIDERED
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	